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PTO/SB/21 (05-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/628,868		
	Filing Date	July 28, 2003	
	First Named Inventor	David F. Bocian	
	Group Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission		Attorney Docket Number	407T-302610US

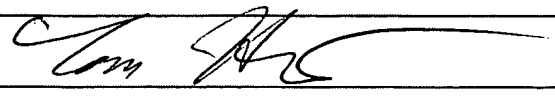
ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO Form 1449
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	28 Cited References
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	receipt acknowledgment
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	postcard	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Authorization to Charge Deposit Account
Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.


Remarks

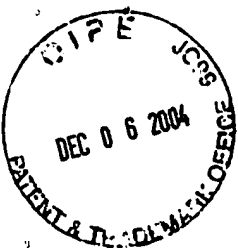
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Tom Hunter, Reg. No. 38,498, Quine Intellectual Property Law Group P.C.	
Signature		
Date	December 3, 2004	

CERTIFICATE OF MAILING

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QUINCY INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Chianti Applng

Attorney Docket No. 407T-302610US
Client Ref. No. 1998-098-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David F. Bocian, et al.

Application No.: 10/628,868

Filed: July 28, 2003

For: ATTACHMENT OF ORGANIC
MOLECULES TO GROUP III, IV OR
V SUBSTRATES

Confirmation No.

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

David F. Bocian, et al.
Application No.: 10/628,868
Page 2

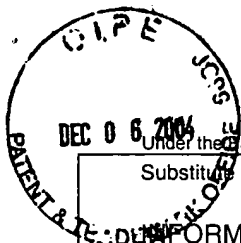
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Tom Hunter', is written over a horizontal line.

Tom Hunter, J.D., Ph.D.
Reg. No. 38,498

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Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/628,868
Filing Date	July 28, 2003
First Named Inventor	David F. Bocian
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	407T-302610US
Date Submitted	December 3, 2004

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	1	6,208,553		Gryko et al.	3/27/2001	
	2	6,212,093		Lindsey	4/3/2004	
	3	6,272,038		Clausen et al.	8/7/2001	
	4	6,324,091		Gryko et al.	11/27/2001	
	5	6,381,169		Bocian et al.	4/30/2002	
	6	6,451,942		Li et al.	9/17/2002	
	7	6,657,884		Bocian et al.	12/2/2003	
	8	6,674,121		Misra et al.	1/6/2004	
	9	6,728,129		Lindsey et al.	4/27/2004	
	10	6,777,516		Li et al.	8/17/2004	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	11	Bansal and Lewis (1998) <i>J. Phys. Chem.</i> 102: 1067-1070	
	12	Bateman <i>et al.</i> (1998) <i>Angew. Chem. Int. Ed.</i> , 37: 2683-2685	
	13	Bent (2002) <i>Surf. Sci.</i> , 500: 879-903	
	14	Bourkherroub and Wayner (1999) <i>J. Am. Chem. Soc.</i> 121: 11513-11515	
	15	Buriak (2002) <i>J. Chem. Rev.</i> , 102, 1271-1308	
	16	Buriak and Allen (1998) <i>J. Am. Chem. Soc.</i> , 120: 1339-1340	
	17	Cleland <i>et al.</i> (1995) <i>Faraday Commun.</i> , 91: 4001-4003	
	18	Coulter <i>et al.</i> (2000) <i>J. Vac. Sci. Technol. A</i> 18: 1965-1970	

Examiner Signature		Date Considered	
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Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	Complete if Known	
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19 /	Li <i>et al.</i> (2002) <i>Appl. Phys. Lett.</i> , 81: 1494–1496	
21	Li <i>et al.</i> (2003) <i>Appl. Phys. Lett.</i> , 83: 198–200	
22 /	Liu <i>et al.</i> (2003) <i>Science</i> , 302: 1543-1545	
23 /	Perlovich <i>et al.</i> (2000) <i>J. Porphyrins Phthalocyanines</i> 4: 699–706.	
24 /	Roth <i>et al.</i> (2003) <i>Am. Chem. Soc.</i> , 125: 505–517	
25 /	Semyannikov <i>et al.</i> (2000) <i>J. Porphyrins Phthalocyanines</i> 4: 271–277.	
26	Song and Sailor (1999) <i>Comments on Inorganic Chemistry</i> , 21: 69–84	
27	Torres <i>et al.</i> (2002) <i>J. Chem. Thermodynamics</i> , 34: 293–302.	
28	Zhu <i>et al.</i> (1999) <i>Langmuir</i> 15: 8147-8154	

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